

*S/ASST
Friedman*

NATIONAL SECURITY AGENCY
Washington 25, D. C.

NSA REGULATION
NUMBER 122-3 (Change 1)

3 August 1954

CANCELLED WHEN CHANGE IS ENTERED IN BASIC REGULATION

CERTIFICATION OF NONAFFILIATION WITH CERTAIN ORGANIZATIONS

Delete paragraphs 3 and 4 of Section II to basic Regulation and insert the following pen and ink changes:


"3. Chiefs of staff and operating elements will be responsible for obtaining completed DA Forms 1111 from present civilian employees.

"4. The NSA Registry for Consultants is responsible for obtaining completed certificates from all consultants, experts and advisors under its cognizance."

BY COMMAND OF LIEUTENANT GENERAL CANINE:

L. H. FROST
Rear Admiral, U. S. Navy
Chief of Staff

OFFICIAL:


GEO. E. CAMPBELL
Colonel, AGC
Adjutant General

DISTRIBUTION IV

NSA Regulation 122-3

SEC

S/ASST (Mr. Friedman)

30 June 54

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1. At the time NSA Regulation 122-3 ("Certification of Nonaffiliation with Certain Organizations") was submitted to this office for coordination, the Executive Secretary, NSASAB, was charged with the administrative procedures pertaining to all members of the NSASAB and its Panels.

2. It is anticipated that, in the immediate future, a new Regulation will be published, establishing the NSA Registry for Consultants (NSARC) within the Personnel Division. NSARC will be charged with conducting all official correspondence with all consultants, experts, and advisors (except those on non-personal services contracts) and with maintaining such records pertaining thereto as are necessary.

3. In the light of the foregoing, it is suggested that the Security Division, once NSARC is officially established, initiate a request for a change to NSA Regulation 122-3 so that paragraphs 3 and 4 of Section II will read:

"3. Chiefs of staff and operating elements will be responsible for obtaining completed DA Forms 1111 from present civilian employees.

"4. The NSA Registry for Consultants is responsible for obtaining completed certificates from all consultants, experts and advisors under its cognizance."

4. This will then be consistent with the responsibilities established by the anticipated regulation and will provide the Security Division with a single point of reference in this matter as far as all consultants, experts and advisors are concerned.

5. This recommended change has been discussed between Major Kramer (SEC) and Captain McDonald of this office.

WILLIAM F. FRIEDMAN
Special Assistant

S/ASST copy

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10 June 1954 *J*

NATIONAL SECURITY AGENCY
Washington 25, D. C.

NSA REGULATION
NUMBER 122-3

10 June 1954

EFFECTIVE UNTIL 1 JULY 1955 UNLESS SOONER
RESCINDED OR SUPERSEDED

CERTIFICATION OF NONAFFILIATION WITH CERTAIN ORGANIZATIONS

SECTION

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SECTION I - PURPOSE

Executive Order 10450 provides that each department and agency head is responsible for establishing an effective program to insure that the employment or retention in employment of each civilian officer and employee is clearly consistent with the interests of national security. As a supplement to the investigative requirements prescribed in Executive Order 10450 and Department of Defense Directive Number 5210.7, dated 12 August 1953, all civilian employees, including consultants and experts, occupying sensitive positions, and all applicants for appointment to any position under the security cognizance of the Director, shall execute DA Form 1111, Certificate of Nonaffiliation with Certain Organizations.

SECTION II - POLICY

1. All present civilian employees of the National Security Agency, and all persons accepted for employment with NSA, will be required to execute DA Form 1111, Certificate of Nonaffiliation with Certain Organizations.

2. The Chief, Personnel Division, is responsible for insuring that all applicants for employment with NSA execute DA Form 1111 prior to entry upon duty.

3. Chiefs of staff and operating elements will be responsible for obtaining completed DA Forms 1111 from present civilian employees, ~~and from consultants and experts under their cognizance.~~

4. ^{NSA Registry for Consultants} ~~The Executive Secretary, NSA Scientific Advisory Board,~~ is responsible for obtaining completed certificates from all consultants, ~~who are members of the Scientific Advisory Board or its Panels,~~ experts, and advisors under its cognizance.

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5. The Chief, Security Division, is responsible for screening and maintenance of completed DA Forms 1111, and for initiating required action when warranted.

SECTION III - PROCEDURE

1. Employees Hired on or After 1 May 1954.

a. On or after 1 May 1954 the Chief, Personnel Division, will require each applicant for a civilian position with NSA to execute DA Form 1111 at the time his application is accepted.

b. Completed forms will be forwarded by the Chief, Personnel Division, to the Chief, Security Division, together with the Statement of Personal History and other pertinent papers, and will be filed in the personnel security file of the individual concerned.

c. If the applicant refuses to execute DA Form 1111 or invokes his constitutional privilege against self-incrimination, his application for employment will not be further considered. If the applicant lists membership or association with any of the designated organizations, the Chief, Security Division, will initiate such investigation as is necessary to determine whether or not the applicant's employment is clearly consistent with the interests of national security as defined by Executive Order 10450.

d. The procedure outlined above applies to both local and field applicants.

2. New Employees Hired Before 1 May 1954. Applicants who have been approved for hire prior to 1 May 1954 will be required to execute DA Form 1111 during their personnel processing upon entry on duty. The Chief, Security Division, will initiate further investigation and action under Executive Order 10450 as required, for those individuals who refuse to execute the form, who claim constitutional privilege, or who indicate activity in any of the designated organizations.

3. Present Employees.

a. The Chief, Security Division, will forward a supply of DA Forms 1111 to all Administrative Control Points, with a request to require execution of forms by all employees listed on the employment rolls of each organizational element on 30 April 1954.

b. Completed forms will be returned to the Chief, Security Division, within fourteen days of receipt of blank forms. Should any employee refuse to execute the form, his name, together with the reasons given for refusal, should be reported immediately to the Chief, Security Division, for appropriate action. Forms will be filed in the personnel security file of the individual concerned.

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c. The Chief, Security Division, will take such additional action as indicated after screening of executed forms.

d. Those employees absent from duty during the period in which execution of DA Form 1111 is accomplished will be required to complete such forms immediately upon their return to a duty status.

e. Completed forms will be checked against organizational rolls both by the chiefs of staff and operating elements concerned and by the Chief, Security Division, to insure compliance with this Regulation by every employee.

SECTION IV - SUPERVISION

Supervision for the implementation of the policy established herein is the responsibility of the Chief, Security Division.

BY COMMAND OF LIEUTENANT GENERAL CANINE:

L. H. FROST
Captain, US Navy
Chief of Staff

OFFICIAL:



E. M. GIBSON
Lt. Colonel, AGC
Acting Adjutant General

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