

No 3 as revised

Insert for p.1

REF ID: A62852  
Information regarding the ~~war~~ and captives employed during that period has been rather sparse until quite recently, when a book entitled Jurcoats, Traitors and Heroes by Col John Bakeless, AUS, was published in 1959 by Hippincott. After a good many years of research Col. Bakeless brought together for the first time a good deal of authentic information on the subject and some of it is incorporated in this lecture.

According to Col. Bakers - and believe it  
or not I in early 1775 the British com-  
mander-in-chief in America, General  
Gage, had no code or cipher at all, nor  
even a staff officer who knew how to  
compile or devise one; he had to appeal  
to the commanding general in Canada,  
from whom he probably obtained the  
single substitution cipher which was  
used in 1776 by a British secret agent  
who - again, believe it or not - was

General Washington's own director-general  
of hospitals, Dr. Benjamin Church.  
General Washington had means for  
secret communication from the very be-  
ginning of hostilities, probably even be-  
fore the fighting began at Lexington  
and Concord. If the British under Gen-  
eral Gage were poorly provided in this  
respect, by the time Sir Henry Clinton  
took over from General Howe, who  
succeeded Gage, they were much

better off - they had adequate or  
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apparently adequate means for secret  
communication.

## Summary

The third lecture in this series deals with the crypto-systems employed by the British Regulars and <sup>by</sup> the Colonials during the period of the American Revolution. This is followed by a brief explanation of the cryptanalytic nature of the initial breaks in the solution of the ~~aged~~ ~~cryptosystem~~ presented by the ancient Egyptian hieroglyphic writing.

LECTURE 3

(27)

Continuing [with] our survey of cryptologic history, the period of the American Revolution, in U.S. history, is naturally of considerable interest to us and warrants more than cursory treatment. <sup>^</sup> Are you astonished to learn that the systems used by the American colonial forces and by the British regulars were almost identical? You shouldn't be, because the language and backgrounds of both were identical. In one case, in fact, they used the same dictionary as a code book; something which was almost inevitable because there were, so few English dictionaries available. Here's a list of the [sort of] systems they used:

Insert

- (28)
- a. Simple, monoalphabetic substitution--easy to use and to change.
  - b. Monoalphabetic substitution with variants, by the use of a long key sentence. I'll show you presently an interesting example in Benjamin Franklin's system of correspondence with the elder Dumas.
  - c. The Vigenère cipher with repeating key.
  - d. Transposition ciphers of simple sorts.
  - e. Dictionaries employed as codebooks, with and without added encipherment. Two [such] were specially favored, [one,] Entick's "New Spelling Dictionary", the [other,] English Dictionary, Bailey's. ~~Here I show~~ a couple of pages from

and/

Insert for p. 2

(1)

In the way REF ID: A62882 more complex than simple monoalphabetic substitution ciphers, the British under Clinton's command used a system described by Babbage in the following terms: "... a substitution cipher in which the alphabet was reversed, 'z' becoming 'a' and 'a' becoming 'z'. To destroy frequency clues, the cipher, changed in each line of the message, using 'y' for 'a' in the second line, 'x' for 'a' in the third, and so on. When the cipher clerk reached 's' in the middle of the alphabet, he started

[contin. over]



over again. A spy using this cipher did not have to carry <sup>REF ID: A62852</sup> numerous papers, since the system was so easy to remember. The alphabets of this scheme are simple reversed standard sequences

(10)

ABCDEFGHIJKLMN OPQRSTUVWXYZ

ZYXWUTSRQP ONMLKIHGFEDCBA

YXWUTSRQP ONMLKIHGFEDCBAZ

XWUTSRQP ONMLKIHGFEDCBAZY

ONMLKIHGFEDCBAZYXWUTSRQP

Barkless doesn't explain why the cipher sequences are only 12 in number - nor does the source from which he obtained the

information, a note found among the  
Clinton Papers REF ID: A62852 into Library at  
the University of Michigan.

Bates also continues:

- ② "Clinton also used another substitution cipher, with different alphabets for the first, second and third paragraphs. Even if an American cryptanalyst should break the cipher in one paragraph, he would have to start all over in the next. As late as 1781, however Sir Henry was using one extremely clumsy substitution cipher, in which 'a' was 51,

'd' was 54, 'e' 55. Judging that 51  
and 'd' was 50. I'd guess  
(correctly) that 'b' was 52, 'c' 53. Some-  
what more complex was his 'paper'  
cipher, in which twenty-five letters of the  
alphabet were placed in squares. Then  
an angle alone would represent a letter,  
the same angle with a dot another letter,  
the same angle with two dots still an-  
other. In some cases, cryptography was  
used only for a few crucial words in an  
otherwise clear message, a method also  
favored by certain American officials.

③

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Of the first cipher mentioned in the preceding extract ~~there~~ much more to be said. Perhaps Bateless was limited by space considerations. In any case I will leave that story for another time and place. As for the second cipher Bateless mentions in the extract, I can give you the whole alphabet, for it exists among the Clinton Papers:

A B C D E F G H I K L M N O P Q R S T U W X Y Z  
51-52-53-54-55-60-61-62-63-64-65-66-67-68-69-70-71-72-73-74-75-76-77-78

There is no explanation why the

(3a) sequence beginning with 50 stops with E=55  
and then, started REF with ID: A62852, goes straight  
on without any break to Z=78. (Remember  
that in those days I and J were used inter-  
changeably, as were U and V).

Originally, as to what Babelos.  
(and others) call the "pigpen" cipher, this  
is nothing but the rotary old so-called  
"Masonic" cipher based upon the 4-cross  
figure:  $\begin{array}{c} a|c| \\ \hline \\ \hline \\ \hline \end{array}$   $a = \perp, b = \lrcorner, c = \llcorner$

which can accommodate 27 characters, not  
25, as Babelos indicates. Letters can be inserted  
in the design in many different arrangements.

are shown in Fig. 1.

the former. To represent a word by code equivalent you simply indicated the page number, then whether Column 1 or Column 2 contained the word you wanted, and then the number of the word in the column. Thus: The word "jacket" would be represented by 178-2-2.

f. Small, specially compiled, alphabetic 1-part codes of 600-700 items and code names; our old friend the syllabary or repertory, of hoary old age *but* with new dress.

g. Ordinary books, such as Blackstone's on the Laws of England Commentaries, giving the page number, the line number and the letter number in the line, to build up, letter-by-letter, [by compound number] the word to be represented. Thus: 125-12-17 would indicate the 17th letter in the 12th line on page 125; it might be the letter T.

h. Secret inks.

i. Special designs or geometric figures, such as one I'll show you presently.

j. Various concealment methods, such as using *large feathers,* hollow quills of *or* hollowing out a bullet, and inserting messages written on very thin paper. Strictly speaking, however, this sort of stratagem doesn't belong to the field of cryptology. But it's a good dodge, to be used in special cases.

I've mentioned that code or conventional names were used to represent the names of important persons

and places in these American colonial and British

cryptograms of the Revolution. Here are some examples taken from a system of code names prepared by Major André, of the sort of names the British used as code names: *the British Spy, Chief of Intelligence under General Clinton:*

For American Generals - The names of the

Apostles, for instance:

General Washington was "James"

General Sullivan was "Matthew"

Names of Cities Philadelphia - Jerusalem

X Detroit - Alexandria

Names of Rivers and Bays (Susquehanna - Jordan

(Delaware - Red Sea

Miscellaneous: Indians - Pharisees

Congress - Synagogue

*Inset*  
Names of Forts:  
Fort Wyoming - Sodom  
Fort Pitt - Gomorrah

*In Fig. 7, we see*

~~Here's a very interesting slide, a British cipher message of the vintage 1781. It was deciphered before finding the key, always a neat trick when or if you can do it. Here's the key--the title page of the then current British Army List - is shown in Fig. 8.~~

I'm sure you've learned as school children all about the treasonable conduct of Benedict Arnold when he was in command of the American Forces at West Point; but you probably don't know that practically all his exchanges of communications with Sir Henry Clinton, Commander of the British Forces in America, were in cipher, or in invisible inks. ~~Here's an interesting slide showing one of Arnold's cipher messages, in~~

Insert for p 4

Fig 2a being the secret version, Fig. 2b, the plain text. Arnold left a few words clear, the ones he considered unimportant; for the important ones he used a dictionary as a codebook, indicating the page number, column number and line number corresponding to the position in the dictionary of the plain-text word which the code group represents. Arnold added 7 to these numbers, which accounts for the fact that first number in a code group is never less than 8, the central number is always either 8 or 9, and the third number is never less than 8 or more than 36. The significant sentence appears near the middle of the



message: " If 198.9.34, 185.8.31 or 197.8.8... " REF ID: A62852  
yields the plain text: " point out a plan of  
cooperation by which S.H. [Sir Henry Clinton]  
shall possess himself of West Point, the  
Garrison, etc, etc, etc, of twenty thousand  
pounds Sterling I think will be a cheap  
purchase for an object of so much importance."  
The signature 172.9.19 probably stands for  
the word "Moor"; Arnold's code name in these  
communications was <sup>John</sup> "Moore". He had also  
another name, "Gustavus".

Fig. 3 at the top shows the code message; at  
the bottom is <sup>REF ID: A62852</sup> the main part. Arnold used  
the same additive as in the preceding  
example.

Insert #2 for p. 4

Insert #3 for p.4

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In Fig. 1 the left-hand portion shows the "phony" message, the right-hand one, the real message. To make it easy for the reader I give below in typed form both the "phony" and the ~~secret~~ ~~code~~, the latter being underlined words having small rectangular apertures etc

REF ID: A62852

Explain how ~~you~~ <sup>it</sup> is ~~in~~ <sup>in</sup> ~~the~~ <sup>the</sup> ~~list~~ <sup>list</sup>

1  
:  
1

*Insert #1 attached*

which he offers to give up West Point for £20,000, is shown in Fig. 3. *Figure 3 is a message* ~~Here's another one in which he gave the British~~

information which might have led to the capture of

*Insert #2 attached*

his commander-in-chief, General Washington, ~~however,~~ *however,* Washington, was too smart to be ambushed--he went by a route other than the one he said he'd take.

*Fig. 4*

You may find ~~this next slide~~ interesting as an example of the special sort of mask or grille used by Arnold and by the British in their negotiations with him. The real or significant text is written in lines outlined by an hour-glass figure and then dummy words are supplied to fill up the lines so that the entire letter apparently makes good sense. To read the secret message you're supposed to have the same size hour-glass figure that was used to conceal the message. The significant text in this example is

underlined:

*long*

"You will have heard, Dr. Sir I doubt not ~~only~~ *this* before you can have reached you that Sir W. Howe is gone from hence. The rebels imagine that he is gone to the Southward ~~by this time~~ *Southward* However he has filled Chesapeake Bay with surprise and terror...etc."

*l.c.*

*Ⓞ 1/2 m sp*

*l.c.*

Arnold even used the trick, mentioned above in method j, that was quite similar to one used recently

Insert for p. 5, transferred matter from p. 3.

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The numbers in the line of a key text, the first series of numbers, viz, 22.6.7.39.5.9.17, indicating line number 22, letter numbers 6.7.39.5.9.17 in that line. Because of the many repetitions the plain text was obtained by straightforward analysis by an officer presently on duty in NSA, Capt Edward W. Knepfer, <sup>US.N.</sup> to whom I am indebted for this interesting example.  
over

REF ID: A62852  
The plain text, once obtained, gave him clues to what the ~~key~~ text might be, simply by replacing the plain-text letters in their numerical equivalent order in the putative key text. This done, Capt. Knappen was quick to realize what the key text was: An Army List. The date of the message enabled him to find the list without much difficulty in the Library of Congress.

Insert for page 72

5 An interesting episode involving concealment of this sort is <sup>REF ID: A62852</sup> ~~recounted~~ ~~by the~~ Babelss, ~~in his recently published book~~ ~~Travels~~, Travels and Heroes. An urgent message of Sir Henry Clinton, dated 8 October 1777, and written on thin silk, was <sup>48</sup> concealed in an oval <sup>silver</sup> ball, about the size of a rifle bullet, which was "handed to Daniel Taylor, a young officer who had been promised promotion if he got through alive. The bullet was made of silver, so that the spy could swallow it without injury from corrosion. . . . Almost as soon as he started, Taylor



was captured... Realizing his peril too late,  
the spy fell in ~~IEEE~~ ~~ID~~ ~~no~~ ~~62852~~ of terror and,  
crying, "I am lost" swallowed the silver  
bullet. Administration of a strong emetic soon  
produced the bullet with fatal results, for  
Taylor was executed. "A rather heartless  
American joke went round," adds Babblers,  
"that ~~the~~ Taylor had been condemned 'out of  
his own mouth.'"

It is often referred to as "The Benedict Arnold's" Treasonable Cow Letter.

(Fig 5)

Quint attached

by the Russian spy, Colonel Abel, who was arrested in New York in June 1957, tried and convicted, and is still languishing in a Federal prison. Here's a picture of the gentleman. How would you like to meet up with

~~him suddenly some dark night at a secret rendezvous?~~

We next see (Fig. 6) one Benedict Arnold message that never was deciphered. Only one example is extant; certain words have

Present matter from p. 3 here

purely arbitrary meanings, as prearranged.

There was an American who seems to have been the Revolution's one-man National Security Agency, for he was the one and only cryptologic expert Congress had, and, it is claimed, he managed to decipher nearly all, if not all, of the British code messages obtained in one way or another by the Americans. Of course, the chief way in which enemy messages could be obtained in those days was to capture couriers, knock them out or knock them off, and take the messages from them. This was very rough stuff, compared to getting the material by radio intercept, as we do nowadays.

I think you'll be interested to hear a bit more about that one-man NSA. His name was James Lovell and besides being a self-trained cryptologist, he was also a member of the Continental Congress. There's on record a very interesting letter which he wrote to General Nathaniel Greene, with a copy to General Washington. Here it is.

Philadelphia, Sept. 21, 1780

1/

Sir:

*underline* You once sent some papers to Congress which no *underline*  
one about you could decipher. Should such be the  
 case with some you have lately forwarded I presume that  
 the result of my pains, herewith sent, will be useful  
 to you. I took the papers out of Congress, and I do  
 not think it necessary to let it be known here what  
 my success has been in the attempt. For it appears  
 to me that the Enemy make only such changes in their  
 Cypher, when they meet with misfortune, ~~as~~ makes a  
 difference <sup>of</sup> ~~in~~ position only to the same alphabet,  
 and therefore if no talk of Discovery is made by ~~me~~ *us*  
 here or by your Family, you may be in chance to  
 draw Benefit this campaign from my last Night's  
 watching.

I am Sir with much respect.

Your Friend,

JAMES LOVELL

*Maj. Genl. Greene  
 (with copy to Genl. Washington)*

In telling you about Lovell I should add to my  
 account of that interesting era in cryptologic history  
 an episode I learned about only recently. When a certain  
 message of one of the generals in command of a rather  
 large force of Colonials came into Clinton's  
 possession he sent it off post haste to London for

OK

solution. Of course, Clinton knew it was going to take a lot of time for the message to get to London, be solved and returned to America--and he was naturally a bit impatient. He felt he couldn't afford to wait that long. Now it happened that in his command there were a couple of officers who fancied themselves to be cryptologists and they undertook to solve the message, a copy of which had been made before sending the original off to London. Well, they gave Sir Henry their solution and he acted upon it. The operation turned out to be a dismal failure, because the solution of the would-be-cryptanalysts happened to be quite wrong! The record doesn't say what Clinton did to those two unfortunate cryptologists when the correct solution arrived from London some weeks later. By the way, you may be interested in learning that the British operated a regularly-established cryptanalytic bureau as early as in the year 1630 and it continued to operate until the end of July 1844. Then there was no such establishment until World War I. I wish there were time to tell you some of the details of that fascinating and little known bit of British history.

There's also an episode I learned about only very recently, which is so amusing I ought to share it with you. It seems that a certain British secret

agent in America was sent a message in plain English, giving him instructions from his superior. But the poor fellow was illiterate and there wasn't anything to do but call upon the good offices of a friend to read it to him. He found such a friend, who read him his instructions. What he didn't know, however, was that the friend who'd helped him was one of General Washington's secret agents!

*illustration (Fig. 9) is*  
 The next ~~slide~~ <sup>^</sup> shows a picture of one of several syllabaries used by Thomas Jefferson. It is constructed on the so-called two-part principle which was explained in the preceding lecture. *Figure 9 a* <sup>^</sup> is a portion of the encoding section, and *9 b* <sup>^</sup> is a portion of the decoding section, in which the code equivalents are in numerical order accompanied by their meanings as assigned them in the encoding section. This sort of system, which, as I've already explained, was quite popular in Colonial times as in the early days of Italian cryptography, is still in extensive use in some parts of the world. Jefferson was an all-around genius, and I shall have something to say about him and cryptography in a subsequent lecture.

A few minutes ago I mentioned Benjamin Franklin's cipher system, which, if used today, would be difficult to solve, especially if there were only a small amount of traffic in it. Let me show you what it was.

Franklin took a rather lengthy passage from some book in French and numbered the letters successively. These numbers then became equivalents for the same letters in a message to be sent. Because the key passage was in good French, naturally there were many variants for the letter E--in fact, there were as many as one would expect in normal plain-text French; the same applied to the other high-frequency letters such as R, N, S, I, etc. What this means, of course, is that the high-frequency letters in the plain text of any message to be enciphered could be represented by many different numbers and a solution on the basis of frequency repetitions would be very much hampered by the presence of many variant values for the same plain-text letter. *In Fig. 10* ~~Here you~~ can see this very clearly.

I know of but one case in all our U.S. history in which a resolution of Congress was put out in cryptographic form. *It is shown in Fig. 11 --* ~~Here's a slide which shows it--~~

a resolution of the Revolutionary Congress dated

8 February 1782. *I have in my collection not only a copy of the resolution but also a copy of the syllabary which it can be deciphered.*

Interest in cryptology in America seems to have died with the passing of Jefferson and Franklin. But if interest in cryptology in America wasn't very great, if it existed at all after the Revolution, this was not the case in Europe. Books on the subject were written, not by professionals, perhaps, but by learned

amateurs, and I think you will find some of them in the NSA library if you're interested in the history of the science. <sup>The next illustration (Fig. 12) is</sup> Here's the frontispiece of a French book the title of which I translate as "Counter-<sup>communications</sup> espionage, or keys for all secret ~~correspondence~~ <sup>correspondence."</sup> It was published in Paris in 1793. <sup>In the picture we see</sup> Here's Dr. Cryppy himself, and ~~this is~~ perhaps a breadboard model of a GS-11 research analyst, or maybe an early model of a WAC. is/

I am going to take a bit of time now to tell you something about Egyptian hieroglyphics, not only because I think that that represents the next <sup>^</sup> and <sup>1</sup> a great <sup>^</sup> landmark in the history of cryptology, but also because the story is of general interest to any aspiring cryptologist. About 1821 a Frenchman, Champollion, startled the ~~unscientific~~ world by beginning to publish translations of Egyptian hieroglyphics, although in the budding new field of Egyptology much had already transpired and been published. <sup>In Fig. 13 we see</sup> Here's a picture <sup>of</sup> the gentlemen and <sup>in Fig. 14</sup> here's a picture of the great Napoleonic find that certainly facilitated and perhaps made possible the solution of the Egyptian hieroglyphic writing--the Rosetta Stone. <sup>The Rosetta Stone</sup> which was found in 1799 at Rashid, or, as the Europeans call it, Rosetta, a town in northern Egypt on the west bank of the Rosetta branch of the Nile. Rosetta was in the vicinity of Napoleon's operations which ended in disaster and when the peace treaty was written

Article <sup>16</sup>~~XVI~~ of it required that the Rosetta Stone, the  
 significance of which was quickly understood by both  
 the conquered French and victorious British commanders,  
 be shipped to London, together with certain other  
 large antiquities. The Rosetta Stone still occupies  
 a prominent place in the important exhibits at the  
 British Museum. The Rosetta Stone is a bi-lingual  
 inscription, because it is in Egyptian and also Greek.  
 The Egyptian portion consists of two parts, the upper  
 one in hieroglyphic form, the lower one in a sort of  
 cursive script, also ~~in~~ Egyptian but called "Demotic."  
 It was soon realized that all three texts were  
 supposed to say the same thing, of course, and since  
 the Greek could easily be read it served as what in  
 cryptanalysis we call a "crib." Any time you are  
 lucky enough to find a crib it saves you hours of work.  
 It was by means of this bi-lingual inscription that  
 the Egyptian hieroglyphic writing was finally solved,  
 a feat which represented the successful solution  
 to a problem the major part of which was linguistic  
 in character. The cryptanalytic part of the task was  
 relatively simple. Nevertheless, I think that anyone  
 who aspires to become a professional cryptologist should  
 have some idea as to what that cryptanalytic feat  
 was, a feat which some professor--but not of cryptologic  
 science, I think it was Professor Norbert Wiener, of



the Massachusetts Institute of Technology--said was the greatest cryptanalytic feat in history. We shall see how wrong the good professor was, because I'm going to demonstrate just what the feat really amounted to by showing you some simple pictures.

First, let me remind you that the Greek text served as an excellent crib for the solution of both Egyptian texts, the hieroglyphic and the Demotic, the latter merely being the conventional abbreviated and modified form of the Hieratic character or cursive form of hieroglyphic writing that was in use in the Ptolemaic Period.

The initial step was taken by a Reverend Stephen Weston who made a translation of the Greek inscription which he read in a paper delivered before the London Society of Antiquaries in April 1802.

In 1818 Dr. Thomas Young, the physicist who first proposed the wave theory of light, compiled for the 4th volume of Encyclo<sup>a</sup>pædia Britannica, published in 1819, the results of his studies on the Rosetta Stone and among them there was a list of several alphabetic Egyptian characters to which, in most cases, he had assigned correct values. He was the first to grasp the idea of a phonetic principle in the Egyptian hieroglyphs and he was the first to apply it to their

decipherment. He also proved something which others had only suspected, namely, that the hieroglyphs in ovals or cartouches were royal names. But Young's name is not associated in <sup>the</sup> public mind with the decipherment of Egyptian hieroglyphics--that of Champollion is very much so. Yet much of what Champollion did was based upon Young's work. Perhaps the greatest credit should go to Champollion for recognizing the major importance of an ancient language known as Coptic as a bridge that could lead to the decipherment of the Egyptian hieroglyphics. As a lad of seven he'd made up his mind that he'd solve the hieroglyphic writing and in the early years of the 19th Century he began to study Coptic. In his studies of the Rosetta Stone his knowledge of Coptic, a language the knowledge of which had never been lost, enabled him to deduce the phonetic value of many syllabic signs, and to assign correct readings to many pictorial characters, the meanings of which became known to him from the Greek text on the Stone.



The following step-by-step account of the solution is taken from a little brochure entitled The Rosetta Stone, published by the Trustees of the British Museum. It was written in 1922 by E. A. Wallis Budge and was revised in 1950. I quote:

"The method by which the greater part of the Egyptian alphabet was recovered is this: It was assumed correctly that the oval , or "cartouche" as it is called, always contained a royal name. There is only one cartouche (repeated six times with slight modifications) on the Rosetta Stone, and this was assumed to contain the name of Ptolemy, because it was certain from the Greek text that the inscription concerned a Ptolemy. It was also assumed that if the cartouche did contain the name of Ptolemy, the characters in it would have the sounds of the Greek letters, and that all together they would represent the Greek form of the name of Ptolemy. Now on the obelisk which a certain Mr. Bankes had brought from Philae there was also an inscription in two languages, Egyptian and Greek. In the Greek portion of it two royal names are mentioned, that is to say, Ptolemy and Cleopatra, and on the second face of the obelisk there are two cartouches, which occur close together, and are filled with hieroglyphs which, it was assumed, formed the Egyptian equivalents of these names. When these cartouches were compared with the cartouche on the Rosetta Stone it was found that one of them contained hieroglyphic characters that were almost identical with those which filled the cartouche on the Rosetta Stone. Thus there was good reason to believe that the cartouche on the Rosetta Stone contained the name of Ptolemy

written in hieroglyphic characters. The forms of the cartouches are as follows:

On the Rosetta Stone

On the Obelisk from Philae

In the second of these cartouches this single sign  ~~(point it out)~~ takes the place of these three signs  ~~(point the out)~~ at the end of the first cartouche.

Now it has already been said that the name of Cleopatra was found in Greek on the Philae Obelisk, and the cartouche which was assumed to contain the Egyptian equivalent to this name appears in this form:

Taking the Cartouches which were supposed to contain the names of Ptolemy and Cleopatra from the Philae Obelisk, and numbering the signs we have:

Ptolemy, A.

Cleopatra, B.

Now we see at a glance that No. 1 in A and No. 5 are identical, and judging by their position only in the names they must represent the letter P. No. 4 in A and No. 2 in B are identical, and arguing as before from their position, they must represent the letter L. As L is the second letter in the name of Cleopatra, the sign No. 1 ~~(point)~~ must represent K. Now in the cartouche of Cleopatra, we know the values of Signs Nos. 1, 2 and 5, so we may write them down thus:


In the Greek form of the name of Cleopatra there are two vowels between the L and the P, and in the hieroglyphic form there are two hieroglyphs, this ~~(point)~~ } and this ~~(point)~~, so we may assume that ~~this~~ <sup>the first</sup> is E and ~~this~~ <sup>the other</sup> is O. In some forms of the cartouche of Cleopatra, No. 7 (the hand) is replaced by a half circle, which is identical with No. 2 in A and No. 10 in B. As T follows P in the name Ptolemy, and as there is a T in the Greek form of the name of Cleopatra, we may assume that the half circle and the hand have substantially the same sound, and that that sound is T. In the Greek form of the name Cleopatra there are two a's, the positions of which agree with No. 6 and No. 9, and we may assume that the bird has the value of A. Substituting these values for the hieroglyphs in B we may write it thus:

Thomas Young noticed that these two signs <sup>o and o</sup> always followed the name of a goddess, or queen, or princess, and the other early decipherers regarded the two signs as a mere feminine termination. The only sign for which we have no phonetic equivalent is No. 8, the lens, and it is obvious that this must represent R. Inserting this value in the cartouche we have the name of Cleopatra deciphered. Applying now the values which we have learned from the cartouche of Cleopatra

2/ to the cartouche of Ptolemy, we may write it thus:

We now see that the cartouche must be that of Ptolemy, but it is also clear that there must be contained in it many other hieroglyphs which do not form part of his name. Other forms of the cartouche of Ptolemy are found, even on the stone, the simplest of them written thus:

~~(point out on slide)~~

 It was therefore evident that these other signs were royal titles corresponding to those found in the Greek text on the Rosetta Stone meaning "ever-living, beloved of Ptah." Now the Greek form of the name Ptolemy, i.e. Ptolemaios, ends with S. We ~~say~~ assume therefore that the last sign <sup>(CP)</sup> in the simplest form of the cartouche given above has the phonetic value of S. The only hieroglyphs now doubtful are ~~(this)~~ and ~~(this)~~, and their position in the name of Ptolemy suggests that their phonetic values must be M and some vowel sound in which the I sound predominates. These values, which were arrived at by guessing and deduction, were applied by the early decipherers to other cartouches, e.g.:

Now, in No. 1, we can at once write down the values of all the signs, viz., P. I. L. A. T. R. A, which

is obviously the Greek name Philotera. In No. 2 we know only some of the hieroglyphs, and we write the cartouche thus: It was

known that the running-water sign <sup>(m.w.)</sup> occurs in the name Berenice, and that it represents  $\bar{B}$ , and that this sign <sup>(+H)</sup> is the last word of the transcript of the Greek title "Kaisaros," and therefore represents some S sound.

Some of the forms of the cartouche of Cleopatra begin with ~~(this sign)~~, and it is clear that its phonetic value must be K. Inserting these values in the above cartouche we have:

which is clearly meant to represent the name "Alexandros," or Alexander. The position of this sign <sup>(print)</sup> shows that it represented some sound of E or A.

Well, I've showed you enough to make fairly clear what the problem was and how it was solved.

That's the way in which the initial break was made in the decipherment of Egyptian hieroglyphics, and, as you may already have gathered, the cryptanalysis was of a very simple variety. It was very fortunate that the first attacks on Egyptian hieroglyphics didn't have to deal with enciphered writing. Yes, the Egyptians also used cryptography; there are "cryptographic hieroglyphics!" Here, <sup>in Fig. --</sup> for instance, is an example of

Insert for p. 19

The following <sup>REF ID: A62852</sup> is a long article by Étienne Drouot in "Revue D'Égyptologie", Paris, 1933. It is subtitled "Essai sur la cryptographie privée de la fin de la XVIII<sup>e</sup> dynastie" and I quote from page 14 thereof:

"Finally, the playful tendency, already pointed out in the construction of the alphabet, appears in the orthography. Certain groups offer, when



REF ID: A62852  
read w clear, a fallacious meaning:  
they are intentional traps, and em-  
phasize the enigmatic character of this  
cryptography:

Fig. 17

*Insert attached*

substitution. ~~That character in place of this one means "to speak."~~

Before leaving the story of Champollion's mastery of Egyptian hieroglyphic writing I think I should re-enact for you as best I can in words what he did when he felt he'd really reached the solution to the mystery. I'll preface it by recalling to you what Archimedes is alleged to have done when he solved a problem he'd been struggling with for some time. Archimedes was enjoying the pleasures of his bath and was just stepping out of the pool when the solution of the problem came to him like a flash. He was so overjoyed that he ran, naked through the streets shouting "Eureka! I've found it, I've found it." *d/* Well, likewise, when young Champollion one day had concluded he'd solved the mystery of the Egyptian hieroglyphics, he set out on a quick mile run to the building where his lawyer brother worked, stumbled into his brother's office, shouted: "Eugene, I've got it!", and flopped down to the floor in a trance where he is said to have remained immobile and completely out for five days. Don't let that sort of thing happen to you around here when and if you find the answer to a complex problem. The char force will probably sweep you up and throw you into the secret trash bin ~~for disposition by burning.~~

I shouldn't leave this brief story of the crypt-analytic phases of the solution of the Egyptian hieroglyphic writing without telling you that there remain plenty of other sorts of writings which some of you may want to try your hand at deciphering when you've learned some of the principles and procedures of the science of cryptology. A list of thus-far undeciphered writings was drawn up for me by Professor Alan C. Ross of London University in 1945 and had 19 of them. Since 1945 only two have been deciphered, Minoan Linear A and Linear B writing. The Easter Island writing is said to have very recently been solved, but I'm not sure of that. There are some, maybe just a very few, who think the hieroglyphic writing of the Ancient Maya Indians of Central America may fall soon, but don't be too sanguine about that, either.

Should any of you be persuaded to tackle any of the still undeciphered writings in the list drawn up by Professor Ross, be sure you have an authentic case of an undeciphered language before you. Here's one that was written on a parchment, known as the Michigan Papyrus. It had baffled certain savants who had a knowledge of Egyptology who attempted to read it on the theory that it was some sort of variation--a much later modification--of Egyptian hieroglyphic writing. These old chaps gave it up as

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The next period of importance in this brief account of the history of cryptology is the one which deals with the codes and ciphers used by the contestants in our Civil War, the period 1861-65. It is significant and important because, for the first time in history, rapid and secure communications on a large scale became practicable in the conduct of organized warfare.

and world-wide diplomacy. They became  
practicable ~~when~~ <sup>REF ID: A62852</sup> ~~the~~ <sup>technology</sup> and  
telegraphy were joined in happy,  
sometimes contentious, but long-  
lasting wedlock.

a bad job. Not too many years ago it came to the attention of a young man who knew very little about Egyptian hieroglyphics. He saw it only as a simple substitution cipher on some old language. He tackled the Michigan Papyrus on that basis and solved it. He found the language to be early Greek. And what was the purport of the writing? Well, it was a wonderful old Greek beautician's secret formulae for further beautifying lovely Greek young beauties--maybe the bathing beauties of those days.

*insert* → There is one person I should mention <sup>however,</sup> before coming to the period of the Civil War, ~~or, as some people prefer to call it, the war between the States, in U. S. history.~~ I refer here to Edgar Allan Poe, who in 1842 or thereabouts, kindled an interest in cryptography in newspapers and journals of the period, <sup>both at home and abroad</sup> For his day he was certainly the best informed person in this <sup>Country</sup> U. S. on cryptologic matters outside <sup>of</sup> the regular employees of Government departments interested in the subject, and in saying this I am assuming that cryptology was used ~~to a limited extent~~ by our Department of State for communicating with ambassadors and consuls abroad.

*omit,* I suppose that the Army and Navy used codes <sup>and ciphers after the Revolution</sup> but the record is a bit fragmentary, and I won't be able to ~~we'll come to them a little later, when I'll show you examples of them.~~

To return to Poe, one of our early columnists, there's an incident I'd like to tell you about in connection with a challenge he printed in one of his columns, in which he offered to solve any cipher submitted by his readers. He placed some limitations on his challenge, which amounted to this--that the challenge messages should involve but a single alphabet, ~~with variants~~. In a later article Poe tells about the numerous challenge messages sent him and says: "Out of perhaps 100 ciphers altogether received, there was only one which we did not immediately succeed in resolving. This one we demonstrated to be an imposition--that is to say, we fully proved it a jargon of random characters, having no meaning whatever." I wish that cipher had been preserved for posterity, because it would be interesting to see what there was about it that warranted Poe <sup>to state</sup> in saying that "we fully proved it a jargon of random characters." Maybe I'm not warranted in saying of this episode that Poe reminds me of a ditty sung by a character in a play put on by some undergraduates of one of the colleges of Cambridge University, in England. <sup>At a certain point in the play,</sup> This character steps to the front of the stage and sings:

"I am the Master of the College,  
What I don't know ain't knowledge."

Insert for p. 23

REF ID: A62852

If ~~any~~ you are interested sufficiently  
to wish to learn ~~to~~ something about  
Poe's contributions to cryptology, I  
refer you to a very fine article by  
Prof W.K. Wimsatt, Jr., entitled "What  
Poe knew about cryptography", Publications  
of the Modern Language Association of  
America, New York, Vol LVIII, No. 3,  
September 1943, pp 754-79 In ~~it~~ it you'll  
find references to what I have published  
on the same subject.



Thus, Poe. What he couldn't solve <sup>he. ~~couldn't~~</sup> wasn't a real cipher--  
a very easy out for any cryptologist up against something  
tough.

*Just attached* → This completes the third lecture in this series.

In the next one we shall come to that interesting period  
in cryptologic history in which codes and ciphers were  
used in this country in the War of the Rebellion,  
the War Between the States, the Civil War--you use your  
own pet designation for that terrible and costly struggle.