

SRH-046

Procedure for Handling

ULTRA DEXTER INTELLIGENCE IN THE CBI

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REAR ECHELON
HEADQUARTERS UNITED STATES ARMY FORCES
CHINA BURMA INDIA

JFEE/jll

A.P.C. 885
22 March 1944

SUBJECT: Procedure Governing the Handling of ULTRA DEXTER
Intelligence in the China-Burma-India Theater.

TO : Theater Air Officer, APO 885
Commanding General, 14th AAF, APO 627
Theater Signal Officer, APO 885
Chief of Staff, Forward Echelon Hq, APO 879
Chief of Staff, Rear Echelon Hq, APO 885
Commanding General, Branch Hq, Fwd Ech, APO 627
Commanding General, Combat Troops, APO 689
Commanding General, XX Bomber Command
Chief, Special Branch, MID, War Dept, Wash., D.C.
Joint Signals Intelligence Board, SEAC and India
Special Liaison Unit, SEAC and India
Special Security Officer, Rear Echelon Hq, APO 885.

1. LIST OF AUTHORIZED RECIPIENTS: a. The following officers of the China-Burma-India and Eastern Air Commands are regularly authorized to receive ULTRA DEXTER intelligence:

Forward Echelon Headquarters. CBI

Commanding General	Lt General Joseph W Stilwell
Chief of Staff	Maj General Thomas G Hearn
AC of S, G-2 Asst G-2	Lt Col Joseph K Dickey Major Wesley B Edwards
AC of S, G-3 Asst G-3	Col John E McCarron Col Louis W Haskell
Aide to Cmdg General	Capt Richard M T Young
Signal Officer	Capt Earnest S King

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DECLASSIFIED per Sec. 3, E. O. 12065
by Director, NSA/Chief, CSS

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Rear Echelon Headquarters, CBI

Deputy Cmdg General Theater Air Officer	Maj Gen Daniel I Sultan Maj Gen George E Stratemeyer
Deputy Chief of Staff Acting Deputy Chief of Staff	Brig Gen Benjamin B Ferris Brig Gen Vernon Evans
Theater Signal Officer	Brig Gen Henry L P King
AC of S, G-2 Asst G-2 Asst G-2	Col Harry I T Creswell Col Allender Swift Major Raymond Patterson
AC of S, G-3 Asst G-3	Lt Col Francis Hill Lt Col Dean Rusk
Commanding Officer, SIS Special Security Officer	Major Leonard Eickwit Capt J F B Runnalls

Northern Combat Area Command

Chief of Staff	Brig Gen Haydon L Boatner
Asst Chief of Staff	Col Robert M Cannon
AC of S, G-2	Lt Col Joseph W Stilwell Jr
AC of S, G-3	Col Henry L Kinnison
Signal Officer	2nd Lt Raymond H Heer

Y-Force Operational Staff

Chief of Staff	Brig Gen Frank Dorn
Asst Chief of Staff	Col John W Middleton
AC of S, G-2	Lt Col John A Cleveland
AC of S, G-3	Col Richard M Sandusky
Signal Officer	Col Joseph E Heinrich

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Z-Force Operational Staff

Chief of Staff Brig Gen Malcom F Lindsey
Asst Chief of Staff

XX Bomber Command

Commanding General Brig Gen K B Wolfe
Chief of Staff
A-2
Asst A-2
A-3
Asst A-3
Signal Officer

Fourteenth Air Force

Commanding General Maj Gen Claire L Chennault
Chief of Staff Brig Gen E E Glenn
A-2 Col Jesse C Williams
Asst A-2 Major Wilfred J Smith
A-3 Col John P Neal
Signal Officer (Kunming Area) Major Mathew C Mautz

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Eastern Air Command

Commanding General	Maj Gen George E Stratemeyer
Deputy Commander	- Air V/M T M Williams
Chief of Staff	Brig Gen Charles B Stone
Deputy Chief of Staff	Col A R Luedecke
Asst to Deputy C of S	· G/C The Earl of Bandon
Asst to Deputy C of S	Col Howard M Means
A-2	· W/C A T Richardson
Asst A-2	Lt Col Wilkes D Kelly
Int Officer & ADC to CG	Major H Schaller
Int Officer (Security)	Major A W Grafton
Int Officer	Major George B Dent
Int Officer	· S/Ldr W Duncan

Strategic Air Force

Commanding General	Maj General H C Davidson
Chief of Staff	Col Aubrey S Moore
A-2	Col M L Harding
Asst A-2	· S/Ldr S H Wisdom

Tactical Air Force

Commander in Chief	· A/M Sir John E A Baldwin
Senior Air Staff Officer	· Air V/M Gibbs
Chief Intelligence Officer	· W/C J P Hallet

Northern Air Sector Force (5320 Air Defense Wing)

Commanding General	Brig Gen John F Egan
A-2	Major E Z Berman
Asst A-2	Major J S Hirsch

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b. In addition to the above, the following personnel are authorized to handle ULTRA DEXTER for special purposes:

- (1) S/Sgt. John M. Bru, Jr., 988th Sig. Opn. Co. for transmission to the Commanding General and Northern Combat Area Command recipients forward of Ledo.
- (2) All personnel of Signal Intelligence Service and of Radio Intelligence Units under the operational direction thereof, insofar as their duties in connection with the processing and transmission of ULTRA DEXTER require; it will be the responsibility of the commanding officers of these organizations to restrict the personnel therein handling this material to the absolute minimum consistent with efficiency, and to insure their compliance with the security requirements.

2. AUTHORIZATION OF ADDITIONAL RECIPIENTS: The above list will be added to as the Commanding General or Deputy Commanding General of the Theater require. Requests for such additions will be kept by each Headquarters to the absolute minimum necessary for the efficient handling of the material. When it does become necessary to add a new recipient, the following procedure will be followed:

a. The name, grade, station, and duties of the prospective recipient, together with a statement of the reasons necessitating his receiving ULTRA DEXTER, will be forwarded over the authorized channels (defined in paragraph 4a below) to the Special Security Officer, Rear Echelon Headquarters, for the approval of the Deputy Commanding General, except that:

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- (1) If approval has already been obtained directly from the Commanding General, the Special Security Officer, Rear Echelon Headquarters, need only be notified of such approval and of the fact that the new recipient has been properly briefed; or,
- (2) When officers holding posts (i.e. Commander; Chief of Staff; AC of S, G-2; AC of S, G-3) automatically entitling them to ULTRA DEXTER are transferred, specific approval for their successor is not required; in such cases the departing officer will be deleted from the list; and the Special Security Officer, Rear Echelon Headquarters, will be notified of the new incumbent's name and the fact that he has been properly briefed.

b. When the Deputy Commanding General's approval of a new recipient has been obtained, or when the Special Security Officer, Rear Echelon Headquarters has been notified as prescribed in subparagraphs (1) or (2) above, he will immediately notify all headquarters concerned, so that their lists may be brought up to date. He will also notify the proper section of MID, War Department, where complete lists of recipients are kept.

c. Before ULTRA DEXTER material is made available to a new recipient, he will first be properly briefed in the security regulations contained in AG 312.1 (13 Oct 43), attached hereto, and will sign Form A, also attached hereto, stating that he understands the regulations and undertakes

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to use the material as prescribed therein. Briefing will be given and the signature to Form A obtained at each headquarters by the Special Security Officer attached thereto, or, in his absence, by the AC of S, G-2.

d. When an officer's name is for any reason deleted from the list, he will surrender his yellow card (see para. 3e below) and will sign Form B, stating that he is aware his right to see ULTRA DEXTER has ceased, and that he undertakes not to divulge his previous knowledge of it to any one, nor to attempt to gain access to it until again duly authorized.

e. When signed by a new recipient, or by an officer relinquishing access to ULTRA DEXTER, Forms A and B and any surrendered yellow cards will be sent by air pouch to the Special Security Officer, Rear Echelon Headquarters, for filing.

f. When exceptional circumstances make the inclusion of enlisted personnel on the list of recipients an absolute necessity, their integrity must be personally guaranteed by the commanding officer requesting their authorization. The commanding officer must further be able to insure that under no circumstances will they be assigned to duties involving risk of capture, nor transferred to other duties than those requiring their authorization to use ULTRA DEXTER, except to return home.

(NOTE: Until Special Security Officers can be provided for

each headquarters where there are recipients, visits will be made by Special Security Officers from other headquarters periodically, to rebrief all recipients in the regulations and to assist them in matters relating to security.)

3. RESPONSIBILITIES OF RECIPIENTS: a. All recipients will be responsible for maintaining a thorough grasp of the security requirements, and for keeping themselves familiar with the list of recipients at their own headquarters.

b. At headquarters where Special Security Officers are assigned, such officers will be responsible for maintaining a complete and up-to-date list of all recipients in the Theater; where Special Security Officers have not yet been assigned, the AC of S, G-2 will keep such a list. He will be assisted in this by the Special Security Officer, Rear Echelon Headquarters, who will keep him informed of changes as they occur.

c. When a recipient wishes to communicate with another officer in the Theater on any matter related to ULTRA DEXTER, if any doubt exists as to whether that officer has been authorized as a recipient, reference shall first be made to the list before such communication takes place.

d. The above requirement applies with equal force in cases where it may be desired to refer ULTRA DEXTER material

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to British officers. The Special Security Officer, Rear Echelon Headquarters, maintains lists of British recipients in all formations under the India Command, and of both U. S. and British recipients in the South East Asia Command. He is assisted in this by the Special Liaison Unit, SEAC and India, which is the agency responsible for ULTRA dissemination and security throughout S.E.A. and India Commands. Where British or joint formations exist in close proximity to CBI formations so that there is a need for interchange of ULTRA DEXTER intelligence between the two, it will be the duty of the Special Security Officer to inform the CBI headquarters concerned of the names of British officers with whom communication on such matters is authorized. S.L.U. (S.E.A.C. and I) will similarly inform British commands of the names of CBI recipients.

e. Each recipient will be furnished with a yellow card, 1½ by 2 inches, with the letter U, one inch high, on both sides in red. The card will also bear his signature and rank. At any conference where ULTRA DEXTER is to be discussed, all persons present shall be required to show these cards, and any unauthorized persons shall be excluded from the conference, before such discussion begins.

f. The Commanding Officer at each headquarters receiving ULTRA DEXTER shall be responsible for so arranging its delivery, circulation and filing within his headquarters that

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no one but authorized recipients will have access to it in any form but that of operational orders phrased to protect the source, as prescribed in the regulations. This requirement applies to maps and other documents which contain information from ULTRA DEXTER.

g. ULTRA DEXTER material should not be kept on file longer than is necessary for the reference of the recipients using it. At headquarters where there is any risk of physical capture by the enemy, all such material should be burned when read.

h. All possible precautions will be taken to avoid giving non-recipients any knowledge even of the existence of this class of intelligence. In this connection it is important that no reference to it be made at any time in the presence of non-recipients, and that documents containing it never be used for correlation or other purposes in room occupied by them.

i. The inclusion of an officer's name on the list does not automatically entitle him to see all ULTRA DEXTER material produced, but only that which he needs in the performance of his duties.

j. In order to protect the source of our own material, the same security treatment should be accorded to any British document marked with the word "ULTRA", as is prescribed

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for material marked with either of our own three interchangeable words "ULTRA DEXTER", "ULTRA CORRAL", or "ULTRA RABID".

4. ULTRA DEXTER COMMUNICATIONS: a. Authorized Channels Inside Theater: An SIS system, authorized to carry ULTRA DEXTER, is now in use at all headquarters receiving ULTRA DEXTER. It is held either by the AC of S, G-2 or by elements of Radio Intelligence Companies under the operational direction of SIS attached to the headquarters. This system alone shall be used for forwarding messages containing ULTRA DEXTER intelligence from New Delhi, and for all intercommunication between recipients on matters related to that intelligence.

b. Authorized Channel Outside Theater: All ULTRA DEXTER information, extracts therefrom, or comments and queries thereon addressed to War Department or to any headquarters or individual outside the CBI Theater shall be routed through the Special Security Officer, Rear Echelon Hq., who holds authorized systems for ULTRA DEXTER communication to War Department, Hq. SWPA, and Hawaiian Department.

c. Procedure: ULTRA DEXTER messages shall normally be addressed to the AC of S, G-2 of the receiving headquarters, and shall be internally addressed as follows:
"PAREN PERSONAL FOR (recipient's name) FROM (originator's

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name) ULTRA DEXTER PAREN". In place of "ULTRA DEXTER", either of the other two interchangeable code words prescribed may be used. The procedure for handling personal messages, as laid down in Rear Echelon Headquarters Circular Number 28, dated 11 March 1944, will be followed, with the following additional precautions:

- (1) The original of an outgoing ULTRA DEXTER message will not be filed in the AG's office after being sent, but will be returned to the originator for destruction as soon as it is no longer needed by him for reference.
- (2) When the addressee of an incoming message is not available to receive the original on its arrival, it will be delivered to another authorized recipient, and not to a duty officer, or any other person not authorized to receive ULTRA DEXTER.

d. Classification:

- (1) The AC of S, G-2 War Department, requires that all ULTRA DEXTER material be classified TOP SECRET and so marked in addition to the prescribed code words. This classification will in no way be interpreted as releasing ULTRA DEXTER from the requirements defined herein or authorizing TOP SECRET Control Officers or other personnel to handle, see, or discuss ULTRA DEXTER in any form, unless they are also on the list of authorized ULTRA DEXTER recipients.

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- (2) In order to avoid lengthy stereotypes, the abbreviation TOPSEC will not be included in radio communications, it being understood that all messages containing the word ULTRA DEXTER or its equivalent must be clearly marked TOP SECRET before being handed to recipients.

By command of Lieutenant General STILWELL:

Vernon Evans
VERNON EVANS,
Brig. Gen., G.S.C.,
Deputy Chief of Staff

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